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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/954,623
Filing Date	September 12, 2001
First Named Inventor	Pustejovsky, James D.
Group Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	019497-000710US

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ENCLOSURES (check all that apply)

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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Patrick M. Boucher	Reg. No. 44,037
Signature		
Date	April 24, 2002	

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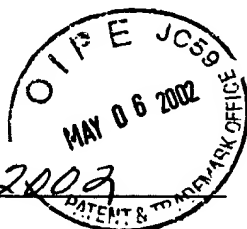
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PATENT
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TOWNSEND and TOWNSEND and CREW LLP

By: Walter A. McNeill

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James D. Pustejovsky

Application No.: 09/954,623

Filed: September 12, 2001

For: METHOD AND APPARATUS FOR
NATURAL LANGUAGE PROCESSING
OF ELECTRONIC MAIL

Examiner: Unassigned

Art Unit: 2152

SECOND INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

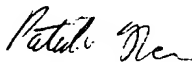
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

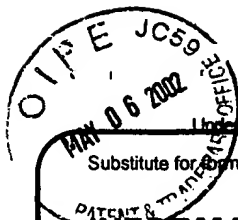
representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Patrick M. Boucher
Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
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Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Application Number	09/954,623
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First Named Inventor	James D. Pustejovsky
Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	019497-000710US

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	US 5,963,940		10/5/99	Liddy et al.	
	AB	US				
	AC	US-				
	AD	US-				
	AE	US-				
	AF	US-				
	AG	US-				
	AH	US-				
	AI	US-				
	AJ	US-				
	AK	US-				
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ (if known)				
	AU	EP	0 386 825	12/9/90	BSO/BURO VOOR SYSTEEMONTWIKKELING B.V.		
	AV	WO	97/08604	6/3/97	Syracuse University		
	AW						
	AX						
	AY						
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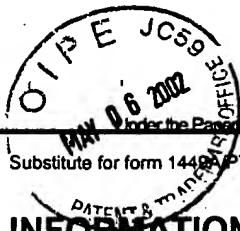
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449 PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **2** of **2**

Compl to If Kn wn

Application Number	09/954,623
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First Named Inventor	James D. Pustejovsky
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		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			
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	BB					

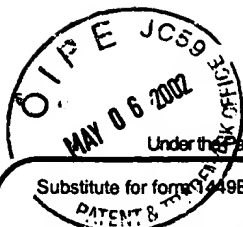
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Applicati n Numb r	09/954,623
Filing Dat	September 12, 2001
First Named Inv ntor	James D. Pustejovsky
Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	019497-000710US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BC	LI LI, et al., "Parsing Unification Categorical Grammar with Object-Oriented Knowledge," IEEE 1994, pp. 33-39	
	BD	LIDDY, ELIZABETH D., et al., "Linguistic-Conceptual Approach to Document Detection," NIST Special Publication, Gaithersburg, MD, NO. 500-207, 1993, XP-000892980, pp. 113-129	
	BE	LIDDY, ELIZABETH D., et al. "Dr.-Link System: Phase I Summary," Proceedings of the Tripster Text Program Phase I Workshop, April 1994, pp. 93-110	
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